SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

3235-0104 OMB Number: Estimated average burden hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Sawicki Mark W	2. Date of Event Requiring Statement (Month/Day/Year) 02/25/2021	3. Issuer Name and Ticker or Trading Symbol <u>Cryoport, Inc.</u> [CYRX]				
(Last) (First) (Middle) C/O CRYOPORT, INC. 112 WESTWOOD PLACE, SUITE 350		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Chief Scientific Officer	ginal Filed			
(Street) BRENTWOOD TN 37027		6. Individual or Joint/Group Filing (Applicable Line) X Form filed by One Reportin Form filed by More than Ou	ng Person			
(City) (State) (Zip)		Person				

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	19,000	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Option to purchase common stock	(1)	08/20/2025	Common Stock	11,342	3.07	D	
Option to purchase common stock	(2)	05/06/2026	Common Stock	7,231	1.87	D	
Option to purchase common stock	(3)	03/28/2028	Common Stock	68,000	8.65	D	
Option to purchase common stock	(4)	04/01/2029	Common Stock	90,000	12.79	D	
Option to purchase common stock	(5)	03/30/2030	Common Stock	100,000	16.93	D	
Option to purchase common stock	(6)	05/18/2027	Common Stock	11,831	3.21	D	
Option to purchase common stock	(7)	05/07/2025	Common Stock	77,625	7.8	D	

Explanation of Responses:

1. 1/48th of the 156,400 options originally granted vested on the 19th of each month for forty-eight months beginning September 19, 2015.

2. 1/48th of the 70,000 options originally granted vested on the 6th of each month for forty-eight months beginning June 6, 2016.

3. 1/48th of the 68,000 options originally granted vested on the 28th of each month for forty-eight months beginning April 28, 2018.

4. 1/48th of the 90,000 options originally granted vested on the 1st of each month for forty-eight months beginning May 1, 2019.

5. 1/48th of the 100,000 options originally granted vested on the 30th of each month for forty-eight months beginning April 30, 2020.

6. 1/48th of the 78.000 options originally granted vested on the 18th of each month for forty-eight months beginning June 18, 2017.

7. 1/48th of the 77,625 options originally granted vested on the 7th of each month for forty-eight months beginning June 7, 2015.

/s/ Mark W. Sawicki

** Signature of Reporting Person

03/04/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL

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